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# Historic sampling of a vanishing beast: population structure and diversity in the black rhinoceros

Fátima Sánchez-Barreiro<sup>1,16</sup>, Binia De Cahsan<sup>1,16,\*</sup>, Michael V. Westbury<sup>1</sup>, Xin Sun<sup>1</sup>, Ashot Margaryan<sup>1</sup>, Claudia Fontserè<sup>2,1</sup>, Michael W. Bruford<sup>3,†</sup>, Isa-Rita M. Russo<sup>3</sup>, Daniela C. Kalthoff<sup>4</sup>, Thomas Sicheritz-Pontén<sup>1,5</sup>, Bent Petersen<sup>1,5</sup>, Love Dalén<sup>6,7</sup>, Guojie Zhang<sup>8-11</sup>, Tomás Marquès-Bonet<sup>2,12,13</sup>, M. Thomas P. Gilbert<sup>1,14,17,\*</sup>, Yoshan Moodley<sup>15,17</sup>

<sup>1</sup>Globe Institute, University of Copenhagen, Øster Voldgade 5-7, 1350 Copenhagen, Denmark

<sup>2</sup>Institut de Biologia Evolutiva (Consejo Superior de Investigaciones Científicas–Universitat Pompeu Fabra), Barcelona Biomedical Research Park, Doctor Aiguader 88, 08003 Barcelona, Catalonia, Spain.

<sup>3</sup>Cardiff School of Biosciences, Sir Martin Evans Building, Cardiff University, Museum Avenue, Cardiff, CF10 3AX, United Kingdom

<sup>4</sup>Department of Zoology, Swedish Museum of Natural History, Frescativägen 40, 114 18 Stockholm, Sweden

<sup>5</sup>Centre of Excellence for Omics-Driven Computational Biodiscovery (COMBio), Faculty of Applied Sciences, AIMST University, Kedah, Malaysia

<sup>6</sup>Centre for Palaeogenetics, Svante Arrhenius väg 20C, 10691 Stockholm, Sweden

<sup>7</sup>Department of Bioinformatics and Genetics, Swedish Museum of Natural History, Frescativägen 40, 114 18 Stockholm, Sweden

<sup>8</sup>Section for Ecology and Evolution, Department of Biology, University of Copenhagen, 2100 Copenhagen, Denmark

<sup>9</sup>State Key Laboratory of Genetic Resources and Evolution, Kunming Institute of Zoology, Chinese Academy of Sciences, 650223, Kunming, People's Republic of China

<sup>10</sup>Center for Excellence in Animal Evolution and Genetics, Chinese Academy of Sciences, 650223, Kunming, People's Republic of China

<sup>11</sup>BGI-Shenzhen, 518083, Shenzhen, People's Republic of China

<sup>12</sup>National Centre for Genomic Analysis–Centre for Genomic Regulation, Barcelona Institute of Science and Technology, 08028 Barcelona, Spain.

<sup>13</sup>Institució Catalana de Recerca i Estudis Avançats (ICREA), 08010 Barcelona, Catalonia, Spain.

<sup>14</sup>NTNU University Museum, 7491 Trondheim, Norway

<sup>15</sup>Department of Biological Sciences, University of Venda, University Road, 0950 Thohoyandou, Republic of South Africa

<sup>16</sup>Co-first authors

<sup>17</sup>Senior Authors

<sup>†</sup>Deceased

\*Correspondence: binia.cahsan@sund.ku.dk, tgilbert@sund.ku.dk (M.T.P.G.)

## 1 **Abstract**

2 The black rhinoceros (*Diceros bicornis* L.) is a critically endangered species historically distributed across sub-  
3 Saharan Africa. Hunting and habitat disturbance have diminished both its numbers and distribution since the 19<sup>th</sup>  
4 century, but a poaching crisis in the late 20<sup>th</sup> century drove them to the brink of extinction. Genetic and genomic  
5 assessments can greatly increase our knowledge of the species and inform management strategies. However, when  
6 a species has been severely reduced, with the extirpation and artificial admixture of several populations, it is  
7 extremely challenging to obtain an accurate understanding of historic population structure and evolutionary history  
8 from extant samples. Therefore, we generated and analysed whole-genomes from 63 black rhinoceros museum  
9 specimens collected between 1775 and 1981. Results showed that the black rhinoceros could be genetically  
10 structured into six major historic populations (Central Africa, East Africa, Northwestern Africa, Northeastern  
11 Africa, Ruvuma and Southern Africa) within which were nested four further subpopulations (Massailand,  
12 Southwestern, Eastern Rift and Northern Rift), largely mirroring geography, with a punctuated north-south cline.  
13 However, we detected varying degrees of admixture among groups, and found that several geographical barriers,  
14 most prominently the Zambezi River, drove population discontinuities. Genomic diversity was high in the middle  
15 of the range and decayed toward the periphery. This comprehensive historic portrait also allowed us to ascertain  
16 the ancestry of 20 re-sequenced genomes from extant populations. Lastly, using insights gained from this unique  
17 temporal dataset, we suggest management strategies, some of which require urgent implementation, for the  
18 conservation of the remaining black rhinoceros diversity.

## 19 **Introduction**

20 Next generation DNA sequencing technology is finding increasing application in conservation management  
21 (Shafer et al. 2015). Until recently however, the majority of population scale conservation genomic studies have  
22 utilised reduced representation methods, which call single nucleotide polymorphisms (SNPs) from a limited set of  
23 randomly amplified loci (Hohenlohe et al. 2021). By comparison, whole genome sequences allow for precise  
24 estimates of mutation and recombination rates; higher resolution insights into population diversity, structure,  
25 demography and evolutionary history; and with the benefit of positional information that allows the detection and  
26 timing of introgression, inbreeding and, as sample sizes increase, local adaptation (Theissinger et al. 2023).

27  
28 These attributes of whole genome sequences make them an indispensable tool for managers entrusted with the  
29 conservation of the planet's remaining biodiversity. Conservation practice is reliant on all knowledge available  
30 for the biodiversity under protection, but as an important starting point, the species population structure, or at a  
31 minimum, a subspecies level taxonomy is essential (Coates et al. 2018). Genetic data are, in fact, crucial when  
32 defining management units, such as evolutionarily significant units (ESUs), and for estimating levels of genetic  
33 diversity, inbreeding and gene flow, all of which guide conservation decisions (Barbosa et al. 2018).

34  
35 In the present study we applied whole genome resequencing across a temporally distributed dataset, with the aim

1 of filling existing knowledge gaps related to a critically endangered African megaherbivore, the black rhinoceros  
2 (*Diceros bicornis* L.). Prior to 1960, the black rhinoceros had been the most abundant extant rhinoceros species,  
3 although its population had started to decline in the 19<sup>th</sup> century due to habitat clearance and unsustainable hunting  
4 (Emslie 2020). As of 2021, some 6,195 black rhinoceroses were left across the continent (Ferreira et al. 2022),  
5 reflecting a modest, yet positive demographic recovery after the lowest recorded census size of 2,354 animals in  
6 the early 1990's. This historic low was the result of a ca. 98% decline of the wild population between 1960 and  
7 1995, owing principally to intense poaching for the rhinoceros horn trade (Emslie 2020).

8  
9 The historic distribution of the black rhinoceros encompassed a vast, continuous area across sub-Saharan Africa,  
10 that spanned a broad range of habitats, from bushland and grassland, to desert, only avoiding areas of dense tropical  
11 rainforest (Rookmaaker and Antoine 2012; Figure 1). Currently outside of zoos, the species survives almost solely  
12 in a few protected areas, with large (> 1,000 individuals) managed metapopulations only in South Africa and  
13 Namibia. In Kenya, the black rhinoceros has made a steady recovery from no more than 381 individuals in 1987,  
14 to 897 by 2021 (Kenya Wildlife Service 2021). In Zimbabwe it has made a slower recovery from ca. 300  
15 individuals in 1995 (Kotzé et al. 2014) to 616 in 2021 (Ferreira et al. 2022). Apart from these four countries,  
16 Tanzania is the only remaining country with aboriginal populations of black rhinoceros, however, the present-day  
17 population of no more than 160 is poorly managed, scattered across a handful of reserves and stem from a  
18 minimum estimate of 31 in 1995 (Emslie and Brooks 1999). Small satellite populations of black rhinoceros have  
19 been reestablished in some former range states, but these comprise a total population of about 212 (Ferreira et al.  
20 2022) and have used animals mostly from South Africa. Therefore, the persistence of the black rhinoceros in what  
21 remains of its heavily fragmented natural range is heavily dependent on active conservation efforts, which include  
22 population genetic management (Moodley et al. 2017).

23  
24 The subspecies level taxonomy of the black rhinoceros has been contentious among rhinoceros experts for over a  
25 century (Rookmaaker 2011). In the late 1980s, a pragmatic classification into four “ecotypes” was settled by the  
26 African Elephant and Rhino specialist group (AERSG, du Toit 1987) to aid conservation efforts. These were the  
27 southwestern black rhinoceros of Namibia, the south-central black rhinoceros ranging from South Africa to  
28 Tanzania, the eastern black rhinoceros of East Africa, and the now-extinct western black rhinoceros from West  
29 Africa. Despite a lack of supporting taxonomic evidence and ignoring other more detailed assessments by Groves  
30 (1967) and Zukowsky (1965), the AERSG classification has persisted until present times. This is problematic  
31 because the four ecotypes are managed separately and are often incorrectly and misleadingly referred to as  
32 subspecies.

33 Genetic assessments are key sources of information for determining how populations are structured across the  
34 species distribution. In this regard, although a substantial body of prior work exists for the black rhinoceros, these  
35 have mostly focused on either single ecotypes, or a subset of the managed populations (Harley et al. 2005; Karsten  
36 et al. 2011; Muya et al. 2011; Van Coeverden de Groot et al. 2011; Anderson-Lederer et al. 2012; Kotzé et al.  
37 2014). The species- and range-wide understanding of the population structure and diversity has been less well  
38 explored. Furthermore, given the major recent population extirpations and bottlenecks that the black rhinoceros

1 has experienced, its current population structure and diversity may not be an accurate reflection of what existed  
2 just half a century ago. Thus, an improved understanding of its pre-decline status will be essential for both  
3 expanding our knowledge of its ecology and evolution, but also informing future conservation efforts. In this  
4 regard, despite the extirpation of the species across much of its historic distribution, obtaining a representative  
5 range-wide genetic sample is possible thanks to the wealth of historic specimens preserved in museum collections.  
6

7 This temporal sampling approach to study black rhinoceros genetics was explored for the first time by Moodley  
8 et al. (2017), who investigated the species-level population structure, phylogeny, and genetic erosion through time.  
9 However, their analyses were limited to molecular data from mitochondrial DNA and microsatellite markers.  
10 Although their data showed a major population genetic break on either side of the Zambezi River, most of their  
11 conclusions about genetic structure and diversity outside southern Africa were based on the history of the  
12 mitochondrial control region, since only a fraction of their historic samples yielded enough microsatellite data.  
13

14 Therefore, in this study we aimed to expand the resolution and scope of this previous work by taking advantage  
15 of palaeogenomic sequencing techniques. Specifically, we generated whole-genome re-sequencing data for a set  
16 of historic black rhinoceros specimens, representing most of the species' historic distribution, and supplemented  
17 this with genomic data from a number of individuals from extant populations. Ultimately, our goal was to use  
18 these genomes to resolve the patterns of population structure, gene flow and diversity in the black rhinoceros prior  
19 to their decline in order to better inform conservation management. In parallel, we aimed to evaluate whether  
20 modern individuals are still representative of historic individuals from the same geographic region and could  
21 therefore provide the basis for the recovery of historic populations by informing future range expansion efforts.

## 22 **Results**

### 23 **A black rhinoceros whole-genome temporal dataset**

24 We generated shotgun DNA sequencing data for 98 individual black rhinoceroses originally sampled from sixteen  
25 countries across the historic and contemporary range of the species. The historic specimens ( $n = 71$ ) ranged in  
26 collection date between 1775 and 1981. The initial 27 modern samples derived from extant populations in natural  
27 reserves: one Namibian (Etosha National Park), three Kenyan (Maasai Mara Game Reserve, Nairobi National Park  
28 and Ol Pejeta Conservancy), and two South African (iMfolozi and Mkhuze Game Reserves; Figure 1). However,  
29 based on our relatedness analysis (Figure S1) we excluded seven individuals from our modern data set from further  
30 downstream analyses.  
31

32 We mapped the raw sequence data against the publicly available whole-genome assembly for the black rhinoceros  
33 ASM1363453v1 (Genbank Assembly Accession: GCA\_013634535.1; (Moodley et al. 2020). We excluded  
34 individuals with depth of coverage  $< 1x$  from further analyses ( $n=8$ ), yielding a final dataset consisting of 63  
35 historic and 20 modern unrelated, re-sequenced whole genomes. Importantly, 53 of the historic genomes

1 corresponded to samples whose associated metadata included coordinates indicating geographic origin (Figure 1).  
 2  
 3 Historic samples were named as follows: the alpha-2 code of the country of origin (see Table 1), the year of  
 4 collection, and an index number (to distinguish samples of identical country and year). Country of origin was  
 5 unknown for two samples, which we indicated by replacing the country code with 'un'. Modern samples were  
 6 labelled with simpler identifiers that included the country code (for South Africa and Namibia) or reserve code  
 7 (for Kenya) followed by an index number (see Table 1 for further details on the distribution of samples across  
 8 countries).

9  
 10 As expected, the DNA sequence data from the historic specimens showed signals characteristic of ancient DNA,  
 11 including cytosine deamination, shorter library insert sizes and sizable fractions of non-endogenous DNA (Figure  
 12 S2). As such, the average depth of coverage for the nuclear genomes were generally lower and more variable  
 13 among the historic specimens (ranging between 1.27x and 20.11x), compared to modern samples (ranging between  
 14 7.37x and 22.78x; Table S1). The endogenous DNA of the historic samples ranged from 5% to 62% (Table S1  
 15 and Figure S2), and levels of cytosine deamination ranged from 0.5% to 5%.

16  
 17 **Table 1.** Overview of the number and origin of black rhinoceros samples in the dataset. For historic and modern  
 18 samples separately, the countries of origin and their corresponding alpha-2 codes are specified, as well as the  
 19 number of re-sequenced genomes and the historic populations present in each country.

Country / reserve	Code	Re-sequenced genomes	Populations (K=6)	Subpopulations (K=10)
Historic				
Angola	AO	5	S	SW, SN/SE
Botswana	BW	1	S	SN/SE
Chad	TD	3	NW	NW
DRC	CD	2	EA, RU	EA, RU
Ethiopia	ET	2	NE	NE
Kenya	KE	19	EA, CE	CE, EA, MA, ER, NR
Malawi	MW	2	RU	RU
Mozambique	MZ	1	CE	ER
Nigeria	NG	1	NW	NW
Somalia	SO	5	NE	NE
South Africa	ZA	2	S	SN/SE
South Sudan	SS	3	EA	EA
Tanzania	TZ	8	CE, RU	MA, ER, RU
Uganda	UG	1	EA	NR
unknown	un	2	CE, S	CE, SN/SE
Zambia	ZM	3	CE	CE
Zimbabwe	ZW	3	S	SN/SE
TOTAL		63		
Modern				
Maasai Mara Game Reserve	MA	7	Modern CE-EA	MA

Nairobi National Park	NNP	3	Modern CE-EA	Modern CE-EA
Oi Pejeta Conservancy	OP	14	Modern CE-EA	Modern CE-EA
Etosha National Park, Namibia	NA	1	Modern S	SW
iMfolozi and Mkhuze, South Africa	ZA	2	Modern S	SN/SE
TOTAL		27		

## 1 Black rhinoceroses exhibited geography-driven population structure

2 We used a range-wide data set comprising 63 historic genomes to determine the population structure of the black  
3 rhinoceros prior to its decline in the late 20<sup>th</sup> century. We used genotype likelihoods of variant transversions as  
4 input for the following population structure analyses (see *Variant site identification* in Methods and Figure S3).

5  
6 We first performed a principal component analysis (PCA) to explore historic population structure. The first  
7 principal component separated southern African samples from the rest: individuals from south and west of the  
8 Zambezi River, that is southern Angola, Namibia, Botswana, Zimbabwe, and South Africa, were clearly grouped  
9 apart from central, eastern and northern samples (Figure 2A). The second principal component separated  
10 individuals sampled in Chad and Nigeria from others in northeastern, eastern and Central Africa.

11  
12 To investigate these patterns of structure in finer detail, we conducted PCA analyses of the individuals on either  
13 side of the Zambezi River separately. We observed five major populations north and east of the river (Figure 2B),  
14 largely clustering according to geography: the northwestern population (Chad and Nigeria, NW) observed in  
15 Figure 2A; a northeastern population (NE) from Ethiopia and Somalia; an East African population (EA) including  
16 animals from South Sudan, Uganda, north DRC (Democratic Republic of Congo) and north western Kenya; a  
17 Central African population (CE) from southern Kenya, northern and central Tanzania, Zambia, and Mozambique;  
18 and a more distinct population localised to Malawi, southeastern Tanzania and putatively from the southern DRC,  
19 previously suggested by mtDNA and named Ruvuma (RU, Moodley et al. 2017).

20  
21 The samples from south of the Zambezi River also displayed substructure, but along an east-west axis. Individuals  
22 from South Africa (including our Cape rhinoceros sample), Zimbabwe, Botswana and south eastern Angola were  
23 separated (along PC1) from those originating in south western Angola (Figure 2D). PC2 separated South Africa  
24 and Zimbabwe from Botswana and southeastern Angola (Figure 2D).

25  
26 We conducted analogous PCA analyses including the genomes of 20 unrelated modern samples (see *Relatedness*  
27 *test* in Methods and Figure S1) on either side of the Zambezi River separately. Modern samples from the Kenyan  
28 reserves fell within and between the historic EA and CE samples, while the Namibian modern individual grouped  
29 with the historic southwestern Angola samples, and the South African modern genome grouped among the historic  
30 Zimbabwe-South Africa individuals. Therefore, the observed subpopulation groupings within southern Africa  
31 follow closely the three subpopulations, SW (Namibia and southwestern Angola), SN (southeastern Angola,

1 Botswana, Zimbabwe), and SE (South Africa and Zimbabwe) previously identified by Moodley et al. (2017).  
2  
3 To investigate historic population structure in more detail, we used the 63 historic genomes in an admixture  
4 proportion analysis and observed a pattern largely concordant with the results of the PCA analyses (Figure 2F and  
5 Figure S4). The value of  $K = 6$  was found to be the most likely for the data set using EvalAdmix (Figure S5). At  
6  $K = 2$ , as with PC1 in Figure 2A, individuals from southern Africa (S) separated from those north and east of the  
7 Zambezi River, although RU appeared to comprise a mixture of alleles from both populations. As  $K$  increased,  
8 NW, NE and EA separated from CE and RU at  $K = 3$ , NW was then distinguished from NE and EA at  $K = 4$ .  
9 Then, RU separated from CE at  $K = 5$ , while EA separated from NE at  $K = 6$  (Figure 2F). Higher  $K$  models also  
10 yielded similar EvalAdmix results as well as geographically interesting and conservation relevant subpopulation  
11 structure. At  $K = 7$  (Figure S4), a subpopulation, closely related to CE, could be distinguished among five genomes  
12 sampled in the Maasailand region in the rift valley of southern Kenya and northern Tanzania, previously identified  
13 from mtDNA as Chari-Victoria (CV, Moodley et al. 2017). However, the higher resolution offered by whole  
14 genomes placed the three individuals sampled on the Chari River into the NW population, making the name CV  
15 inappropriate for the Massailand genomes, which we renamed here MA. At  $K = 8$ , SW was delineated from SN/SE.  
16  $K = 9$  separated five further genomes from southern Kenya and Tanzania, but the range of this subpopulation did  
17 not overlap with MA, instead these individuals were sampled in the relatively narrow gap to the east of the rift  
18 valley and to the west of the distribution of RU. Thus, while MA is characteristic of black rhinoceros in Maasailand  
19 and the southern rift valley, this new subpopulation is more associated with the area to the east of the rift valley,  
20 and so we name it here ER. Finally, at  $K = 10$ , four genomes from a region including Uganda, Lake Turkana and  
21 Lake Baringo were differentiated from EA. We name the subpopulation of black rhinoceros inhabiting this arid  
22 landscape NR, as it is dominated by volcanoes and lakes of the northern rift valley.

23  
24 The distinctive range-wide population structuring at  $K = 6$  also allowed the detection of admixture (Figure 2F).  
25 Some individuals within EA and CE were not assigned fully to either population, instead appearing admixed. In  
26 Kenya and South Sudan two EA genomes showed admixture with NE and CE, and one EA genome from Uganda  
27 appeared admixed with NW, while another in DRC was admixed with CE. Three CE genomes from Kenya were  
28 admixed with EA, two of which were the only samples from the valley of the Tana River in our data set (see Table  
29 S1). Fourteen CE individuals were admixed with RU at  $K = 6$ , but at  $K = 7$ , the most admixed of these were  
30 designated MA.

31  
32 Analogously to our PCA analyses, we also conducted an admixture analysis including the 20 modern unrelated  
33 individuals (Figures S6, S7). At  $K = 6$ , modern individuals from two Kenyan reserves, Ol Pejeta Conservancy and  
34 Nairobi National Park, appeared either fully EA in ancestry, or as admixed between EA and CE (Figure S6). On  
35 the other hand, our three samples from the Maasai Mara Game Reserve showed a high proportion of MA ancestry  
36 ( $K = 8$ , Figure S6), which is geographically consistent because the reserve is situated within the Maasailand region,  
37 with some EA that is absent in historic MA genomes. The Namibian sample showed ancestry from the SW  
38 subpopulation, while the South African modern individual clustered with the historic SN/SE subpopulation (Figure



1 S6).

2

3 Lastly, to determine the relationships among populations and timing of key divergence events, we reconstructed a  
4 fossil calibrated genome-wide phylogeny using one, least admixed, individual for each of the populations  
5 identified at  $K = 6$  (Figure 2G). We used a sliding window approach with 20 kb windows and a 1 Mb slide. As in  
6 the above analyses, the most supported topology featured an initial split between southern Africa (S) and other  
7 regions. The only exception was that RU was a sister lineage with S and not to other genomes from East Africa.  
8 Within the Eastern clade, NW branched before CE, while NE and EA were the most derived sister lineages.  
9 Although we recovered high bootstrap values (100) for all nodes, both the gene and site concordance factors were  
10 low, with maximum values of 27.3 and 39.8 respectively, suggesting high levels of phylogenetic discordance in  
11 our dataset (Figure 2G). Using an estimate for the divergence of the black and white rhinoceros species from a  
12 common ancestor of between 5.3 and 7.3 million years (Ma), we inferred the first population split to have occurred  
13 between 0.73 - 1.22 Mya, with all other major population subdivisions likely occurring before ~500 kya.

14

15 The observed levels of structuring at increasing values of  $K$  prompted a more explicit test of whether a model of  
16 isolation by distance (IBD) might have driven the population structure of the black rhinoceros in historic times.  
17 We therefore conducted a Mantel test (Mantel 1967) on our 53 georeferenced historic samples, which revealed a  
18 significant correlation between genomic and geographical distance, with geography potentially explaining up to  
19 68% of the total variation in the data set (Figure 3A). The pairwise distances obtained when comparing our one  
20 individual from South Africa (ZA1775.1) to any other individuals in our dataset, were markedly higher relative to  
21 other comparisons. This individual was not only highly geographically isolated, but also temporally isolated, as it  
22 was sampled from 1775, compared to 1845-1981 for other historic samples. However, this sample was also the  
23 lowest coverage (1.27x) which could have also driven relatively higher levels of divergence. We suspected that  
24 these higher pair-wise values could increase the significance of our Mantel regression, however, the test remained  
25 significant even when this individual was removed from the analysis (Figure S8). We further investigated the  
26 effect of sampling date with genetic distance but found only a very weak correlation (Figure S9).

27

28 We also calculated  $D$ -statistics to determine whether EA and CE individuals, inhabiting the middle of the species  
29 range in central and eastern Africa, were closer to NW or to S, located at the extremes of the range. Under an  
30 isolation by distance scenario, the expectation would be a linear decline in  $D$ -statistic values with distance from  
31 the centre of the range. For this, we investigated shared derived polymorphisms using the topology (((S, NW),  
32 EA|CE), Outgroup). The  $D$ -statistic is commonly used for assessments of gene flow, that is, assuming the input  
33 topology corresponds to the correct phylogenetic tree (Figure 2G). However in this case, S and NW are not sister  
34 populations, and so elevated  $D$ -scores in this analysis will reflect shared polymorphisms due to closer common  
35 ancestry as opposed to gene flow (Westbury et al. 2018; Westbury et al. 2021). Thus, a negative  $D$ -score would  
36 indicate a closer relationship of the test group (EA or CE) to the S population, whereas a positive  $D$ -score would  
37 indicate a closer relationship to the NW population. We observed a decline in  $D$ -statistics as the distance to the  
38 northwestern end of the range increased (Figure 3B). Interestingly, however, the decline was not as linear as

1 expected (Figure 3B). In fact, three groups of samples were identifiable through this approach, and they matched  
2 the EA, CE and RU individuals as sorted by the PCA and admixture analyses (Figure 3B).

3  
4 To further investigate these potentially varying degrees of connectivity among the historic black rhinoceros  
5 populations, we explored range-wide gene flow (or barriers to it) by computing effective migration rates with  
6 EEMS (Petkova et al. 2016) using the 53 georeferenced historic genomes. The resulting effective migration surface  
7 pinpointed areas where genetic differentiation decayed quickly with distance (higher than average effective  
8 migration, blue-shaded, Figure 4), versus areas where genetic differentiation remained high even in relatively close  
9 geographic space (lower than average effective migration, grey-shaded, Figure 4). Importantly, low effective  
10 migration might be due to an actual barrier to gene flow, or to low population density in the area (Petkova et al.  
11 2016).

12  
13 We observed broad regions of low effective migration for the black rhinoceros across sub-Saharan Africa. These  
14 included the central Congo basin, where the species never occurred, extending south approximately through the  
15 valleys of the Kafue and Lower Zambezi to the Indian Ocean (I, Figure 4), but also up the Shire valley into the  
16 basin of Lake Malawi and from there into the Kilombero and Rufiji valleys of south-central Tanzania (II). From  
17 the Congo basin, this low effective migration surface also extended both east roughly through the basin of Lake  
18 Victoria, across the Gregory Rift and along the Tana River valley to the Indian Ocean (III), and north into Central  
19 African Republic and Sudan, along the Bahr-al-Ghazal and southeast through the valleys of the White and the  
20 Blue Nile, across the Ethiopian Rift and eventually reaching the Indian Ocean via the Juba River (IV). These  
21 complex patterns of low effective migration resulted in six pockets of relatively high effective migration: in  
22 western Central African Republic, southern Chad and northern Cameroon (V, Figure 4); the Horn of Africa (VI);  
23 South Sudan, northern Uganda and north-western Kenya (VII); southern Kenya, northern and western Tanzania  
24 and northern Zambia (VIII); south eastern Tanzania and northern Mozambique (IX); and finally southern Africa  
25 roughly south of the Zambezi basin to the Cape of Good Hope (X). We then overlaid the geographic distribution  
26 of the six putative historic populations in previous analyses and found that the distribution of high and low effective  
27 migration areas corresponds largely with major population boundaries (Figure 4).

## 29 Geographic distribution of genome-wide diversity in the black rhinoceros

30 First, we jointly estimated the effective diversity surface for our georeferenced historic data set using EEMS for  
31 an initial idea of the geographic distribution of genome wide diversity (Figure S10). This analysis suggested a  
32 broad region of high diversity corresponding to East and Central Africa and two regions of low diversity in  
33 southern and northwestern Africa. Then, we estimated the genome-wide heterozygosity (GWhet) per sample based  
34 on transversion sites. Historically, GWhet was highest in CE (median =  $3.28 \times 10^{-4}$ ) and EA (median =  $3.25 \times 10^{-4}$ ),  
35 and lowest in the S population (median =  $2.36 \times 10^{-4}$ , Figure 5A/B). We also estimated levels of inbreeding  
36 among black rhinoceroses by calculating the average length of homozygous regions, known as runs of  
37 homozygosity (RoH), and divided it by the total length of the scaffolds considered (>14Mb, see *Variant site*

1 *identification* in Methods) to obtain individual inbreeding coefficients ( $F_{\text{RoH}}$ , Figure 5C/D). Among historical  
2 samples, we found that  $F_{\text{RoH}}$  was inversely related to GWhet, being lowest in CE and EA and highest in NW and  
3 S. Thus, both GWhet and  $F_{\text{RoH}}$  peaked in Central and East Africa, at the centre of the species distribution, and  
4 decayed toward the northern and southern peripheries (Figure 5 A-D). Both associations were significantly  
5 correlated with distance from the individual with the highest GWhet, thus explaining 73% ( $p < 0.001$ , Figure S11)  
6 and 68% ( $p < 0.001$ , Figure S12) of the variation in GWhet and  $F_{\text{RoH}}$ , respectively. We did not find a significant  
7 association between sampling date and either GWhet or  $F_{\text{RoH}}$  (Figures S13 and S14 respectively).

8  
9 Modern individuals showed much lower GWhet. Although Kenyan samples (modern CE-EA) showed lower  
10 GWhet (median =  $2.57 \times 10^{-4}$ ) than their presumed historic sources, EA and CE, these levels were still within the  
11 range of some of the historic samples, being comparable to NE and RU. Conversely, modern individuals from  
12 South Africa and Namibia (Modern S) featured much lower GWhet than that of any historic populations (Figure  
13 5A). However, unlike GWhet, historical  $F_{\text{RoH}}$  was not significantly different to levels in Modern CE-EA and  
14 Modern S (Figure 5C).

15  
16 We explored this breakdown in the relationship between  $F_{\text{RoH}}$  and GWhet among modern samples by dividing  
17  $F_{\text{RoH}}$  into three different size classes, with RoH between 1 and 2 Mb equating to inbreeding within the last 43  
18 generations (Figure 6A), RoH between 2 and 5 Mb reflects inbreeding within the last 21.5 generations (Figure 6B)  
19 and RoH >5Mb equates to inbreeding within the last 8.6 generations (Figure 6C). By assuming a generation time  
20 of 24 years (Moodley et al. 2017) we estimated timeframes for historical inbreeding of 517 – 1032 years, 207 -  
21 516 years and 0 - 206 years for the small, medium, and large  $F_{\text{RoH}}$  size classes respectively. While southern Africa  
22 expectedly showed considerably more recent inbreeding during the colonial period (17<sup>th</sup> - 20<sup>th</sup> centuries), along  
23 with NW and RU; populations in East Africa (CE, EA, and NE) displayed more inbreeding within the two older  
24 timeframes.

## 25 26 The burden of inbreeding

27 The high levels of inbreeding observed in previous analyses necessitated an analysis of the genetic load borne by  
28 each population across the species range. We found differences in realised genetic load that is due to homozygous  
29 loss of function alleles and the masked genetic load of heterozygous loss of function alleles between populations  
30 as well between historic or modern samples (Figure S15). Similar to both GWhet and  $F_{\text{RoH}}$ , southern Africa (S)  
31 appears to suffer the highest burden in both realised and masked genetic load. However, modern S had significantly  
32 lower realised genetic load than its historic counterpart (Table S6), with large variability between individuals,  
33 which could suggest efficient purging of deleterious alleles while masked genetic load between historic and  
34 modern S individuals overlapped. On the other hand, we observed no obvious differences in realised genetic load  
35 between historic and modern CE and EA populations (Figure S15). The masked genetic load may be somewhat  
36 lower in the modern CE/EA population compared to its historic counterparts; however, differences appear only

1 minor.

2

### 3 **Discussion**

4 The aim of this study was to characterise the population structure, and the distribution of genomic diversity in the  
5 black rhinoceros before its range-wide collapse in the latter half of the 20<sup>th</sup> Century. Today, the natural populations  
6 of black rhinoceros occurring in Kenya, Tanzania, Zimbabwe, Namibia, and South Africa are remnants of a much  
7 richer genetic diversity in the recent past. We therefore sourced and analysed whole-genome data from 63 museum  
8 specimens representing the continental-scale historic distribution of the species.

#### 9 Historic populations of the black rhinoceros

10 Our PCA and admixture analyses indicated that historic genomic variation in the black rhinoceros was  
11 geographically structured into six major populations (S, RU, CE, EA, NE, and NW), with further substructuring  
12 in southern Africa of S into SW and SE/SN subpopulations and in East Africa of CE into MA and ER and EA into  
13 NR. We were concerned that gaps in our sampling scheme, e. g. in the Central African Republic, western South  
14 Sudan, southern Tanzania, and northern Mozambique, may have contributed to the observed population genomic  
15 structure. On the other hand, differentiation into distinct EA and CE populations was observed despite particularly  
16 dense sampling in their region of overlap.

17

18 Further exploration of the nature of population structure showed a significant pattern of isolation by distance,  
19 where the genetic distance between pairs of individuals increased as a linear function of geographic distance  
20 between their sampling locations. However, we also observed that several of the geographically distant pairwise  
21 comparisons (> 2,000 km) were more genetically distinct from each other than would be predicted from the  
22 distance between their sampling locations (Figure 3A). These outliers suggested genetic discontinuities in parts of  
23 the species range. A non-linear decline in D-statistics from the centre of the species range confirmed this  
24 observation. Finally, effective migration rates modelled using EEMS defined six regions of high migration that  
25 corresponded directly with the six major populations observed in PCA and admixture analyses (Figure 4B).  
26 Although this latter analysis was conducted only on our 53 geo-referenced samples, and further data would help  
27 define these regions more precisely, taken together we are confident that the genomic variation in black rhinoceros  
28 was structured as described above. Our observations of population and subpopulation structure largely corroborate  
29 the findings of Moodley et al. (2017). However, the increased resolution of our whole genome data set enabled  
30 the detection of additional substructuring of populations ER and NR, to the east and north of the rift valley,  
31 respectively. Our whole genome data did not retrieve the divergent mtDNA clade WW, despite sampling from  
32 west of the Shari-Logone basin in Nigeria. We suggest that WW maternal lineage might be a relic of an ancient  
33 migration into West Africa that has become fixed west of the Shari-Logone by genetic drift. This discrepancy, and  
34 the high-resolution population structure observed above, highlights the growing necessity for the use of genome-  
35 scale data to infer intraspecific phylogeography.

## 1 Evolutionary history of the historic black rhinoceros populations

2 Central and eastern Africa, dominated by populations EA and CE, appear to have been the hotspots of black  
3 rhinoceros diversity, whereas individual diversity decayed with increasing latitude both northward (populations  
4 NW and NE) and southward (populations RU and S), towards the limits of the species range (Figure 5). Decreasing  
5 genetic diversity from the central parts of a species range is commonly observed in both plant and animal species  
6 (Eckert et al. 2008) and is thought to result from increasing isolation and smaller effective sizes. Genetic diversity  
7 is often, but not always, highest at or near the species origin (Liu et al. 2006), particularly in species exhibiting  
8 significant IBD, as do humans (Manica et al. 2005).

9  
10 We have shown here that up to 67% of the heterogeneity in our whole genome data set reflected IBD and, thus,  
11 we propose that Central and/or eastern Africa, east of the Congo basin, as the putative region of origin for the  
12 black rhinoceros. This inference is supported by the fossil record, with the earliest emergence of modern *D.*  
13 *bicornis* at Koobi Fora in Kenya, 2.5 million years ago (Ma). The species range then appears to have expanded  
14 rapidly, as it appears subsequently at Beard's Quarry in South Africa 2.0 Ma and in the Konso Formation in  
15 Ethiopia 1.8 Ma (Geraads 2010).

16  
17 Regarding the isolation of the southern African populations, it is known that tectonic upliftment across the Kalahari  
18 sands of southern Africa resulted in a drainage depression that gave rise to enormous Lake Palaeo-Makgadikgadi  
19 between 1.4 and 0.5 Ma (Moore et al. 2012; Riedel et al. 2014). This event isolated the western and central parts  
20 of southern Africa from the basins of the Kavango, Chobe and Upper Zambezi Rivers through what is now central  
21 Botswana and ties in with the first split in our phylogeny, separating the ancestors of S and RU from the rest of  
22 Africa. The Upper Zambezi was eventually captured 125-150 thousand years ago (Ka) into its present-day course  
23 (Moore and Larkin 2001), effectively isolating all of southern Africa (S) from RU and the rest of the continent.  
24 We observed the major genetic discontinuity in our range-wide dataset across the axis of the Zambezi River and  
25 suggest that the series of geological events outlined above may have provided the strongest barrier to gene flow  
26 across the historic range of the black rhinoceros. In such a scenario, it seems most plausible that black rhinoceros  
27 inhabiting the area west of the central Kalahari were most isolated by Lake Palaeo-Makgadikgadi, potentially  
28 explaining why SW is well differentiated from SN/SE subpopulation, both of which would have inhabited the  
29 region to the east of the paleo-lake, and with possibly greater access to Central Africa, prior to the capture of the  
30 Lower Zambezi River. Lake Palaeo-Makgadikgadi is known to have fluctuated greatly in size in the last 50 kya  
31 (Riedel et al. 2014), eventually allowing the black rhinoceros to repopulate northern Botswana and southeastern  
32 Angola from Zimbabwe (SN).

33  
34 Meanwhile, in the rest of the continent, the black rhinoceros had begun to diverge into populations firstly along  
35 the axis of the Albertine and Gregory Rifts, with NW to the west of this system the first to differentiate (Figure  
36 S4). CE then became differentiated from EA and NE along the axis of the Tana River, and also potentially via  
37 admixture into the latter two populations from NW (Figure S4). Although the upper Tana River altered its course

1 during the middle Pleistocene, it has flowed nevertheless across central Kenya to the Indian Ocean since the  
2 upliftment of the Aberdare Range and Mount Kenya in the late Miocene and Pliocene (Baker et al. 1971;  
3 Veldkamp et al. 2012). Thus, the river and its mountain sources within the Gregory Rift system have likely been  
4 barriers to gene flow throughout the evolutionary history of the black rhinoceros in East Africa. At a finer scale,  
5 the geographic localisation of populations MA, ER and NR to the western, eastern and northern parts of the  
6 Gregory Rift, respectively, provides further evidence that rifting, and upliftment were major drivers of black  
7 rhinoceros differentiation in East Africa.

8  
9 Admixture also appears to be a common feature of historical populations. Admixture profiles for  $K \geq 2$  (Figure  
10 S4) indicate that while barriers to gene flow were important in isolating populations, introgression between  
11 populations was common once such barriers were removed. We postulate that admixture, followed by isolation,  
12 may have been responsible for the evolution of several populations including RU, EA, MA and ER. Ancient  
13 introgression between CE and S explains why RU is closely affiliated to CE on admixture plots (Figures 2F, S4  
14 and S6) and yet a sister taxon to S on the phylogenetic tree (Figure 2G). Such gene flow across the lower Zambezi  
15 between eastern southern Africa and southeastern parts of central Africa, would only have been possible prior to  
16 the capture of the upper Zambezi when the river's flow might not have been as permanent as it is today. Likewise,  
17 EA is likely derived through admixture between NW and CE, MA from admixture between CE and RU and ER  
18 through admixture between CE and MA. These signatures for admixture may also indicate shared ancestral  
19 polymorphisms rather than gene flow, and since neither are accounted for in a bifurcating tree approach, they are  
20 likely responsible for the low gene and site concordance values observed in our phylogenetic reconstruction. We  
21 are hopeful, however, that these evolutionary events can be teased apart in the future, through demographic  
22 modelling when greater sample sizes become available.

23  
24 In conclusion, although we demonstrate distinct population structure across its range, we also show that the  
25 evolutionary history of the black rhinoceros was likely driven not just by allopatric separation of populations  
26 owing to the species inability, or reluctance, to cross large and permanent water bodies and mountains, but also  
27 by secondary contact followed by isolation, in cases where barriers to gene flow were temporarily removed. Thus,  
28 the overlaying of these various evolutionary events upon each other has led to a significant pattern of IBD across  
29 the wide sub-Saharan range of the black rhinoceros.

### 30 Historic levels of inbreeding vary with geography

31 Assessing the structure of modern samples from Kenya, Namibia and South Africa allowed insight into how  
32 population declines have compressed and distributed the remaining historical diversity. The breakdown in  
33 relationship between  $G_{Whet}$  and  $F_{RoH}$  among modern samples is intriguing. While among southern African  
34 individuals, where the colonial period began in the 1600s and is known to have heralded the onset of habitat  
35 destruction and trophy hunting on a vast scale, it might be expected that historical samples from 1776 (ZA1776.1)  
36 and 1845 (ZA1845.1) may already have been subjected to inbreeding at the time of sampling, and so their  $F_{RoH}$

1 values may appear similar to levels in modern samples from the same region. However, in East Africa, similarly  
2 scaled interventions by Europeans began much later, in the mid to late 1800s, and so most historical samples in  
3 our data set were expected to have significantly lower levels of RoH. By dividing RoH into size (and hence time)  
4 classes, we show that East African black rhinoceros (CE, EA and NE), while possessing fewer large RoH tracts  
5 than S, RU and NW, still contained appreciable levels of colonial-period inbreeding (Figure 6C), showing for the  
6 first time, the negative genetic consequences of the ubiquitous European hunting safari on black rhinoceros  
7 diversity in East Africa. However, the same three East African populations had significantly more RoH at medium  
8 and small size classes (Table S1 B). This provides evidence of precolonial inbreeding among black rhinoceros in  
9 East Africa, whereas populations outside this region, in West Africa, southern east Africa and southern Africa  
10 show inbreeding mainly during the colonial period. A similar result was shown for white rhinoceros, where effective  
11 population sizes among southern white rhinoceros of southern Africa were lowest during the colonial period,  
12 whereas values for the northern white rhinoceros were lowest during Bantu migrations into East Africa (Moodley  
13 et al. 2018). Thus, independent genetic data from both African rhinoceros species point to geographically distinct  
14 patterns of inbreeding between southern and East Africa, suggesting that anthropogenic pressures on African  
15 rhinoceros date back to antiquity, and may have been, as it is today, associated with rhinoceros horn. This view is  
16 corroborated by the fact that rhinoceros horn, and other wildlife products from East Africa, were already being  
17 traded along the Arabian coast and further east by 100 AD (Boeyens and van der Ryst 2014).

## 18 Conservation implications

19 Our whole genome dataset provides the first resolution of nuclear DNA populations NW, NE, RU, and MA, which  
20 were previously only suggested by mtDNA, plus two entirely unknown populations ER and NR. Moreover, our  
21 genome scale, geo-referenced data set allowed the more precise localisation of all black rhinoceros populations  
22 and subpopulations across the species range than was previously possible with spatial modelling of low-resolution  
23 traditional markers (Moodley et al. 2017). One such example occurred in East Africa, where variation neither at  
24 microsatellites nor at mtDNA was able to resolve the geographic ranges of populations EA and CE. Here, we show  
25 that the distribution of EA is clearly distinguishable from CE, with the former ranging in suitable habitat between  
26 the Albertine and Gregory Rifts and the latter distributed from about the Tana River south to the Zambezi River,  
27 with a zone of secondary contact between EA and CE in southern Kenya. Our genome data also identified distinct  
28 black rhinoceros subpopulations MA, ER and NR that we localised to different regions within the rift valley. Thus,  
29 the additional structure and better geographic localisation of populations offered by whole genome data have major  
30 implications for conservation-oriented management.

31  
32 Unfortunately, both NW and NE have been extirpated, with no known record of animals from those populations  
33 ever successfully contributing to *ex-situ* populations. On the other hand, confirmation of the existence of RU places  
34 enormous conservation value on any of its remaining individuals in the wild. Its historic range, covering the eastern  
35 part of Central Africa from the Zambezi River in the south to the Rufiji in the north, contains only two possible  
36 options for the persistence of RU individuals: Selous Game Reserve in Tanzania and Niassa Game Reserve in

1 Mozambique. With no recent reports of black rhinoceros activity in either reserve, and with local authorities  
2 incapable of providing the necessary protection, the future of RU, and its unique portion of black rhinoceros  
3 diversity looks bleak. Similarly bleak prognosis can be made about the existence of ER and NR among modern  
4 populations.

5  
6 The modern samples highlight the devastating effect of population contractions and subsequent genetic drift. This  
7 observation was shown to be worst among modern southern African individuals, which featured the lowest  
8 heterozygosity and highest inbreeding across all populations, descending from a limited number of founding  
9 individuals in Damaraland and Kaokoland, Namibia (SW) (Endangered Wildlife Trust 1984), Zululand, South  
10 Africa (SE), and the Zambezi Valley, Zimbabwe (SN; Emslie and Brooks 1999).

11  
12 In Kenya, despite sustaining precolonial inbreeding associated with Bantu migrations, colonial inbreeding  
13 associated with European hunting and finally the heavy population contractions from the 1970s to the 1990s,  
14 modern Kenyan black rhinoceros still maintain much higher levels of present-day variation than modern southern  
15 African populations (Figure 5). At one stage, the plight of the Kenyan black rhinoceros was so serious that local  
16 authorities located, caught and translocated the last animals from the dwindling populations scattered across that  
17 country, in a desperate effort to consolidate the national metapopulation into intensive protection zones (IPZs). In  
18 the absence of genetic knowledge at that time, the origin of each animal was not considered, and so EA, NR, CE  
19 and ER individuals were inadvertently placed within the same IPZs. However, two IPZs in Kenya never received  
20 introductions from elsewhere, and these were the Maasai Mara Game Reserve and Chyulu National Park.

21  
22 These management decisions have resulted in the admixture of EA and CE in much of the present day Kenyan  
23 metapopulation, as is clear from the intermediate PC space occupied by most modern day Kenyan samples (Figure  
24 2C), and in contrast to separately managed southern African populations (Figure 2E), where modern and historic  
25 samples cluster together. In our data set, individuals with highly admixed EA/CE profiles were typically from  
26 Nairobi National Park and the Ol Pejeta Conservancy (Figure S6). While it is possible that typically NR and ER  
27 may also have contributed to the diversity of the present-day Kenyan metapopulation, our restricted modern  
28 sample from Kenya did not allow for their detection. The conservation benefit of the consolidation of the Kenyan  
29 metapopulation was thus the maintenance of high genetic diversity in the face of population collapse.

30  
31 On the other hand, our modern samples from the Maasai Mara, possess lower genomic diversity compared to other  
32 Kenyan populations but, because no translocations ever entered this IPZ, they reveal the original mix of population  
33 ancestries that would have been present in Maasailand in historical times. Therefore, all three genomes sampled  
34 in the Maasai Mara were typically of Maasailand (MA) ancestry, and probably represent the last place in Africa,  
35 together with the adjoining Serengeti, where the MA population still exists. It is unlikely that MA would have  
36 survived in the Massai Mara had this reserve been part of the original translocation plans to protect the Kenyan  
37 black rhinoceros, and so our results vindicate the original decision to manage this reserve separately from others  
38 in Kenya.



1  
2 A similar situation may exist among the non-admixed black rhinoceros population of Chyulu National Park.  
3 Although this population was reduced to only two individuals in 1992, it had grown to 21 by 2011 (Muya et al.  
4 2011). Chyulu is in southern Kenya and importantly, to the east of the rift valley. It may therefore still harbour  
5 individuals with ER ancestry, although the national park has never been sampled. From a conservation perspective,  
6 this possibility alone elevates Chyulu National Park to a similar level to that of the Maasai Mara as it may be the  
7 last place in Africa where ER might exist. Another interesting possibility is that ER and CE may exist in *ex situ*  
8 black rhinoceros populations that were removed from southern Kenya, east of the rift valley during the 1960s  
9 (Moodley et al. 2017). These ex-situ populations can be found at Thabo Tholo reserve in South Africa, where  
10 unfortunately, many have been admixed with S individuals and thus unsuitable for reintroduction anywhere in  
11 East Africa. Another possibility for the existence of ER and CE is in European and American zoos, particularly  
12 Dvůr Králové Zoo in the Czech Republic, whose black rhinoceros collection stems directly from Tsavo National  
13 Park, also to the east of the rift valley (Moodley et al. 2017).

14  
15 Based on these results, we suggest strictly separate management for the Maasai Mara-Serengeti and Chyulu  
16 National Park from each other and the rest of the Kenyan metapopulation. We suggest local authorities step up  
17 measures to genetically profile all remaining black rhinoceroses in Kenya, particularly for those populations with  
18 little or no genetic data. The overarching goal for the long-term management of the Kenyan black rhinoceros  
19 would be to maintain MA in the Maasai Mara, potentially ER and CE in Chyulu, and EA/CE within the remaining  
20 metapopulation, with regular monitoring to sustain levels of diversity, attenuate genetic drift and limit inbreeding.  
21 Similarly, but more urgently, we recommend that authorities in Tanzania obtain genetic data for all their remaining  
22 black rhinoceros, with their top priorities to maintain both RU, MA, ER and CE populations, wherever they might  
23 still occur in that country.

24  
25 In southern Africa, our results confirmed previous findings, and we therefore recommend a continuation of the  
26 current management scheme, where SW (the Namibian black rhinoceros) is managed separately from  
27 subpopulation SN/SE. Our results also confirm the close relationship between SN and SE, which were previously  
28 managed separately. We suggest, as did Moodley et al (2017), that new reserves established anywhere in eastern  
29 southern Africa from the Cape to the Zambezi consider founders from both SN and SE when available. As both  
30 the realised and masked genetic loads were highest among southern African black rhinoceros, we recommend  
31 measures to avoid further inbreeding, such as the movement of males between reserves and population monitoring  
32 using a studbook, be implemented in all facilities with small populations, whether wild or captive. We also caution  
33 that although individual numbers are highest in southern Africa, these populations represent but a small fraction  
34 of the remaining species diversity and a conservation management focus on maintaining as many different genetic  
35 populations is now required, rather than simply increasing numbers and growth rates of southern African black  
36 rhinoceros.

37  
38 Beyond these conclusions, having genome-wide data available opens promising new avenues for conservation-

1 related research on the black rhinoceros. Our map of black rhinoceros genomic diversity could be leveraged to  
2 develop more sophisticated molecular tools to identify the provenance of black rhinoceros material seized from  
3 the illegal market. Also, with genomic information we could venture into the potential phenotypic effects of the  
4 intra-species diversity observed in order to guide management actions. For instance, gaining insight into local  
5 adaptation, inbreeding and outbreeding depression might greatly enhance the success of breeding programs.  
6 Overall, our results support and highlight the importance of improving the resolution of traditional molecular  
7 markers by carrying out population level, whole-genome studies, and by sampling widely across the species range  
8 to better understand population structure and evolutionary history, and ultimately, to better inform conservation  
9 management.

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24 Zimbabwe, the Swedish Museum of Natural History, the Royal Museum for Central Africa Tervuren, the  
25 Senckenberg Museum Frankfurt, the United States National Museum Washington (or Smithsonian Arts and  
26 Industries Building) and the Bavarian State Collection of Zoology.

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32 Michael W. Bruford, who succumbed to illness during the resubmission phase of this manuscript. He will be sorely  
33 missed far beyond the bounds of just the conservation genetics community.

## 35 **Data Availability**

36 The sequencing data underlying this article is available on SRA under BioProject Number PRJNA1002571.

## 1 **Methods**

### 2 Whole-genome data generation

3 Our historic sample collection included material obtained from 71 museum specimens. Collection dates ranged  
4 between 1775 and 1981, with the oldest sample a bonafide representative of the Cape rhinoceros (*D. b. bicornis*),  
5 which was thought to be extinct. All samples consisted of keratinous material (pieces of skin, horn powder, or  
6 hairs), except for ZA1845.1, which was a piece of bone from a skull, and ZA1775.1, which was a molar tooth.  
7 Samples from historic specimens were stored and processed in facilities dedicated to ancient DNA work at the  
8 Swedish Museum of Natural History (Stockholm), and the Natural History Museum of Denmark (Copenhagen).  
9 We followed Sánchez-Barreiro et al. (2021) for keratinous tissue processing. The skin pieces were manually cut  
10 and then hydrated for 2–3 h at 4°C in 0.5–1 mL of molecular biology grade water. The tissue was then briefly  
11 washed with 0.5 mL of a 1% bleach solution, followed by two rinsing steps with molecular biology grade water”  
12 (Sánchez-Barreiro et al., 2021). Bone material was crushed with a small hammer, and small pieces amounting to  
13 150-200 mg were used for extraction after a brief washing with a 1% bleach solution, and two rounds of rinsing  
14 with molecular biology grade water. Our collection also included 27 modern samples in the form of keratinous  
15 material either preserved in ethanol or dry. Dry samples were hydrated with molecular biology grade water prior  
16 to manipulation, and then each piece of skin was cut with a disposable scalpel. For extraction, 20 mg of material  
17 was used.

18 We extracted DNA from the historic keratinous samples with the DNeasy Blood and Tissue Kit (Qiagen), but  
19 introducing two modifications to the manufacturer’s guidelines, as indicated in Sánchez-Barreiro et al. (2021): on  
20 one hand, “adding of DTT (dithiothreitol) 1 M to a final concentration of 40 mM to the lysis buffer”, and also “the  
21 substitution of the purification columns in the kit by MinElute silica columns (Qiagen) to favour retention of small  
22 fragments”. DNA extraction from the bone and the tooth samples was carried out following (Gilbert et al. 2007)  
23 with the modifications detailed in Dabney et al. (2013) to enhance the retrieval of small DNA molecules. We  
24 assessed the concentration and fragment size distribution in each extract using a TapeStation 2200 (Agilent).  
25 Extraction of DNA from the modern samples was carried out with the KingFisher™ Duo Prime instrument and  
26 its associated Cell and Tissue DNA Kit, following the manufacturer’s guidelines. Concentration of DNA extracts  
27 was measured with a Thermo Scientific™ Qubit dsDNA high-sensitivity (HS) assay. A 20 µL aliquot of each  
28 extract was fragmented in a Covaris® focused-ultrasonicator with a customised program to reduce fragment length  
29 to ~400 bp. Size distribution upon fragmentation was assessed with a TapeStation 2200 (Agilent, Santa Clara,  
30 CA).

31 Sequencing library preparation followed the procedure described in (Sánchez-Barreiro et al. 2021), using the  
32 BEST protocol (Carøe et al. 2018). We used 100 ng of extracted DNA to which we ligated adapter sequences  
33 compatible with BGISEQ 500 sequencing (Mak et al. 2017). Libraries were PCR amplified and single-indexed  
34 following strictly the protocol described in Sánchez-Barreiro et al. (2021). Resulting indexed libraries were  
35 distributed in pools containing equimolar proportions of eight indexed libraries each. Each of these pools was  
36 given one lane of BGISEQ 500 PE150 sequencing.

37 For samples ZA1, ZA2 and NA1, sequencing libraries were built using the Illumina® TruSeq® Nano DNA

1 Library Prep Kit for NeoPrep™ on DNA inserts that were 350 bp in length and following the manufacturer's  
2 guidelines. Libraries were then sequenced on an Illumina® HiSeq X platform, giving 0.5 lanes per sample in  
3 PE150 mode.

#### 4 Bioinformatic processing of raw data

##### 5 Quality assessment and mapping of DNA sequence data

6 We generated shotgun sequencing data for a total of 98 black rhinoceros samples, 71 historic and 27 modern. We  
7 conducted a quality check per sample with fastqc v0.11.7 (Andrews 2010). Subsequently, we ran the pipeline  
8 PALEOMIX v1.2.13.2 (Schubert et al. 2014) on each sample separately to: remove sequencing adapters and  
9 exclude reads shorter than 25 bp with AdapterRemoval v2.2.2 (Schubert et al. 2016); align the raw reads against  
10 the *Diceros bicornis* assembly ASM1363453v1 (Genbank Assembly Accession: GCA\_013634535.1; Moodley et  
11 al. 2020) using bwa v0.7.16a and its *backtrack* algorithm (Li and Durbin 2009) setting minimum base quality  
12 filtering to zero to maximise reads retained; filter out duplicates with Picard MarkDuplicates (Broad Institute  
13 2019); calculate the level of ancient DNA damage with mapDamage v2.0.6 (Jónsson et al. 2013). From the total  
14 98 samples, 8 samples were excluded from whole-genome analyses due to low depth of coverage (<1x; see Table  
15 S1) or systematic failure to align against the whole-genome assembly. The resulting 90 aligned genomes were  
16 divided into 63 historic and 27 modern.

##### 17 Variant site identification

18 To optimise computational memory usage and omit potentially poorly assembled regions of the reference  
19 assembly, we restricted variant site finding to scaffolds >14 Mbp (n = 47), which represent 72.83% of the total  
20 length of the assembly. We verified that none of these scaffolds belonged to sex chromosomes by evaluating if  
21 male samples showed a 0.5x normalised depth of coverage, indicative of X chromosome regions (Figure S3). We  
22 identified biallelic variant sites that were transversions and computed their genotype likelihoods using the GATK  
23 genotype likelihood model (-GL 2) within ANGSD v0.921 (Korneliussen et al. 2014). Transitions were excluded  
24 with the -rmTrans option, and the minimum number of individuals in which a variant site must be present (-  
25 minInd) was 95%. Minimum and maximum global depth per site were based on a global depth assessment with  
26 ANGSD -doDepth: 500 and 1,500 respectively when including 63 or more genomes; 200 and 1,500 when  
27 including fewer than 63 genomes. Additionally, the following quality filtering and output choice parameters were  
28 set: -remove\_bads 1 -uniqueOnly 1 -baq 1 -C 50 -minMapQ 30 -minQ 20 -doCounts 1 -GL 2 -doGlf 2 -  
29 doMajorMinor 1 -doMaf 1 -doHWE 1 -dosnpstat 1 -HWE\_pval 1e-2 -SNP\_pval 1e-6.

#### 30 Statistical analyses of genomic data

##### 31 Relatedness test

32 We ran a pairwise analysis of relatedness based on genotype likelihoods with ngsRelate v2 (Hanghøj et al. 2019).  
33 The computation of this panel of genotype likelihoods followed the procedure detailed above, except for the

1 parameters `-setMaxDepth` and `-setMinDepth`, which were set to 900 and 200 respectively. The files containing the  
2 genotype likelihoods and allele frequencies were reformatted with commands in bash language to match the input  
3 requirements of `ngsRelate v2`. As per (Waples et al. 2019), the degree of relatedness between each pair of samples  
4 was assessed qualitatively based on the relative values of coefficient of relatedness  $R_1$  versus coefficients  $R_{KING}$   
5 and  $R_0$ .

6 We found 11 pairs of individuals showing a relatedness signal among the modern samples (Figure S1). Seven of  
7 those samples were therefore excluded from the analyses of population structure: MA1, MA2, MA5, MA7, OP10,  
8 OP11, ZA2. As a criterion to exclude samples from a related pair, the sample of lowest depth of coverage was  
9 discarded.

## 10 Principal Component Analysis (PCA)

11 We used `PCAngsd 0.973` (Meisner and Albrechtsen 2018) to compute covariance matrices from genotype  
12 likelihoods for different sets of samples: all historic genomes, those north and south of the Zambezi River  
13 separately, and the latter plus the unrelated modern genomes stemming from those respective regions. Standard  
14 packages in R v3.4.4 (R Core Team 2022) were used for decomposition of each matrix in eigenvectors and  
15 eigenvalues, and `ggplot2` (Wickham 2016) for visualisation of principal components (PCs).

## 16 Admixture

17 Assessment of admixture proportions across individuals was conducted with `NGSadmix v32` (Skotte et al. 2013).  
18 We used the genotype likelihoods of transversion variant sites for the 63 historic genomes as input. Values of  
19 ancestral clusters,  $K$ , ranged between two and ten, and for each value of  $K$ , we ran `NGSadmix` 100 times. We  
20 repeated the analyses with the inclusion of the modern individuals for  $K$  values 2-10. For each value of  $K$ , the run  
21 of highest log-likelihood was chosen for visualisation with the software `Pong` (Behr et al. 2016)(Figure S4 and  
22 S5). We used `EvalAdmix` (Garcia-Erill and Albrechtsen 2020) to evaluate the goodness of fit of the clustering for  
23 each  $K$  value (Figure S6 and S7).

## 24 Phylogenetic tree

25 We selected a single individual per population (CEN, CES, NE, NW, RU, S) that showed the low levels of mixed  
26 ancestry based on  $K=6$  in the admixture analysis; KE1911.1, KE1933.1, TZ1910.1, TD1925.2, SO1896.2,  
27 ZW1880.1. We additionally mapped a white rhinoceros individual (P9109\_108) to the black rhinoceros genome  
28 to act as an outgroup. The white rhinoceros individual was mapped to the black rhinoceros reference genome using  
29 `PALEOMIX`, following the same protocol described above for the black rhinoceros data. We generated consensus  
30 fasta files from each of the individuals using `ANGSD` and a consensus haploid call (`-doFasta 2`) and the following  
31 filters: `-remove_bads 1 -uniqueOnly 1 -minMapQ 30 -minQ 20 -setmindepthind 5`. We limited this to scaffolds  
32  $>14\text{Mb}$ . We generated a bed file containing sliding windows of 20kb in size with 1Mb slides using `bedtools`  
33 `v2.29.1` (Quinlan and Hall 2010) and extracted each window from the individual specific consensus file using  
34 `SAMtools`. We built a phylogenetic tree for each window (gene tree) using `IQ-tree v2.2.0.3` (Minh et al. 2020)  
35 with the GTR substitution model + six gamma distribution rate categories (R6) and 1,000 bootstrap replicates. We  
36 also concatenated all windows into a single sequence and built a phylogenetic tree using `IQ-tree`. We calculated



1 gene concordance factors (percentage of gene trees supporting a given node), and site concordance factors  
2 (percentage of sites supporting a given node) based on the topology from the concatenated data and the individual  
3 gene trees in IQ-tree (--gcf and --scf). We dated the concatenated tree using MCMCtree from the PAML package  
4 (Yang 2007) and specified a root age (split between black and white rhinoceros) between 5.3 and 7.3 Ma. This  
5 range is based on records of *Diceros* in upper Miocene deposits (> 5.3 Ma) at Lothagam (Kenya, 6.54-5.2 Ma;  
6 (Brown and McDougall 2011)) and Albertine (Uganda, 7.25-5.3 Ma; Pickford et al. 1993).

#### 7 Factors influencing genetic distance

8 To perform a Mantel test for isolation by distance (IBD) we generated two distance matrices. One based on genetic  
9 distance, and one based on geographic distance. We calculated the genome-wide pairwise distance between either  
10 all 53 georeferenced historical black rhinoceros or 52 (we excluded one southern African individual (ZA1775.1)  
11 due to elevated putative genetic distances caused by low coverage data (1.27x)) using ANGSD with a consensus  
12 base call (-doIBS 2) and the following parameters: -rmtrans 1 -minind 53 -remove\_bads 1 -uniqueOnly 1 -  
13 minMapQ 30 -minQ 20 -GL 1 -doMajorMinor 1 -minMinor 0 -makeMatrix 1. Similar to the other analyses we  
14 limited our analysis to scaffolds >14Mb in length. We generated the geographic distance matrix for the same  
15 individuals using their GPS coordinates (Table S1) and R using the geodist library. We ran the Mantel test in R  
16 specifying the two distance matrices as input and 9,999 permutations. We also performed a regression test by  
17 comparing pairwise differences between dates and pairwise genetic distance of the same individuals to assess  
18 whether there was a temporal factor driving the genetic differences between samples. The correlation coefficient  
19 was calculated using R v4.2.1 (R Core Team 2022).

#### 20 D-statistics

21 To estimate the relatedness of the individuals found in the central range (CE or EA) of the species to either the  
22 southern (S) or northern (NW) populations, we used D-statistics in ANGSD. We used a random base call (-  
23 doabbababa 1), specified the white rhinoceros (Biosample accession: SAMEA8896056) as the outgroup, only used  
24 scaffolds >14Mb in length, excluded repeat regions, and chose the following parameters: -remove\_bads 1 -  
25 uniqueOnly 1 -baq 1 -C 50 -minMapQ 30 -minQ 20 -setMaxDepth 1500 -setMinDepth 500 -rmTrans 1. The output  
26 was parsed through the jackKnife.R script, which is part of the ANGSD toolsuite, to make it into a more readable  
27 format. ANGSD calculates D-statistics for all possible triplet combinations. However, we only extracted  
28 comparisons following the defined topology of (((S,NW), central population), Outgroup). Based on this topology,  
29 a negative D-score would indicate a closer relationship to the S population, whereas a positive D-score would  
30 indicate a closer relationship to the NW population. As we have multiple individuals from S and NW, we took the  
31 average of all possible combinations of S/NW.

#### 32 Estimation of effective migration and diversity surfaces with EEMS

33 We employed EEMS (Petkova et al. 2016) to link genetic and geographic data and estimated the effective  
34 migration and diversity surfaces along the black rhinoceros range of distribution using 53 georeferenced historic  
35 genomes. As input, EEMS takes a pairwise distance matrix which we calculated with PLINK using an input file  
36 generated using ANGSD (-doplink 2) across the 47 largest scaffolds of the assembly and the following parameters:

1 -rmtrans 1 -minind 51 -remove\_bads 1 -uniqueOnly 1 -minMapQ 30 -minQ 20 -GL 1 -doMajorMinor 1 -doPlink  
2 2 -doGeno -4 -doPost 1 -postCutoff 0.95 -SNP\_pval 1e-6 -doMaf 1 -minMaf 0.05. Using PLINKv1.90b6.2, we  
3 converted the resultant tped and tfam to map/pedfiles using --recode and then converted those to bed/fam files  
4 using --make-bed. From the bed file we generated a distance matrix as input for EEMS using bed2diffs\_v1, part  
5 of the EEMS toolsuite. The matrix was fed as input to EEMS with an MCMC chain of 2,000,000 iterations and  
6 assuming 1,000 underlying demes (a specification of grid size). The geographic area of interest was outlined by  
7 hand with the online tool Google Maps API v3 Tool (Scharning). Visualisation of the estimated migration (m) and  
8 effective diversity (q) surfaces was conducted in R v3.4.4 (R Core Team 2022).

## 9 Metrics of individual genomic diversity

10 We estimated the genome-wide heterozygosity of each genome, based on transversion biallelic sites within the  
11 scaffolds >14 Mbp, following strictly the approach described in Sánchez-Barreiro et al. (2021). Briefly, for each  
12 sample we first calculated the site allele frequency likelihood of there being zero, one or two alternative alleles  
13 with the -doSaf 1 option of ANGSD (Korneliussen et al. 2014) and the folded option (-fold 1). Both the reference  
14 (-ref) and the ancestral (-anc) genome used were the black rhinoceros assembly. We only included transversion  
15 sites (-noTrans 1), and sites of depth of coverage of at least 5x (-setMinDepth 5). Identical quality filtering  
16 parameters as for computing genotype likelihoods were set. Then we used RealSFS, within ANGSD, to compute  
17 the folded site frequency spectrum (SFS) for each sample using the output of the previous step. To investigate the  
18 variance of heterozygosity across the genome we calculated the SFS in 10Mb windows of covered bases (-nSites).  
19 The count of heterozygous sites was divided by the total count of sites to obtain the individual estimate of genome-  
20 wide heterozygosity.

21 Runs of homozygosity (RoH) were also estimated for each genome in our dataset with >5x coverage using PLINK  
22 based on the approach used by Foote et al (2021). We generated a PLINK file from the scaffolds >14Mb in length  
23 from all individuals using ANGSD (-doPlink 2) and the following parameters: -rmtrans 1 -minind 83 -  
24 remove\_bads 1 -uniqueOnly 1 -minMapQ 30 -minQ 20 -GL 1 -doGlf 2 -doMajorMinor 1 -doPlink 2 -doGeno -4  
25 -doPost 1 -postCutoff 0.95 -SNP\_pval 1e-6 -doMaf 1 -minMaf 0.05. We ran the resultant PLINK file in PLINK  
26 to calculate the RoH using the following parameters: --homozyg-snp 50 --homozyg-kb 1000 --homozyg-density  
27 50 --homozyg-gap 1000 --homozyg-window-snp 50 --homozyg-window-het 5 --homozyg-window-missing 5 --  
28 homozyg-window-threshold 0.05 --allow-extra-chr. Individual inbreeding coefficients ( $F_{RoH}$ ) were calculated by  
29 dividing the total length within RoH >1Mbp by the total number of bp found in the scaffolds >14Mb in length  
30 (1,698,121,211 bp).

31  
32 We also filtered the output into three different RoH categories: 1Mb - 2Mb, 2Mb - 5Mb and >5Mb. We estimated  
33 the number of generations since inbreeding occurred using the calculation  $g = 100 / (2rL)$ ; Kardos et al. 2018), where  
34  $r$  = recombination rate,  $L$  = length of RoH in Mb, and  $g$  = number of generations. As genome-wide recombination  
35 rates for black rhinoceros are unavailable, we present results based on the horse (*Equus Caballus*, 1.16cM per Mb;  
36 Beeson et al. 2020). Given this calculation, RoH >1Mb equate to inbreeding occurring within the last 43

1 generations,  $RoH > 2Mb$  equate to inbreeding occurring within the last 21.5 generations, and  $RoH > 5Mb$  equates  
2 to inbreeding occurring within the last 8.6 generations.

3  
4 We performed regressions of the original sampling date of the individual and  $GWhet$  and  $F_{RoH}$  as well as  
5 geographic distance to the central population and  $GWhet$  and  $F_{RoH}$ . For the latter, we picked distance to the  
6 individual with the highest mean  $GWhet$  with GPS coordinates (TZ1910.2) as the central point of the species and  
7 calculated distance from that individual taken from the geographic distance matrix calculated above. We limited  
8 our analyses to the 52 georeferenced historic black rhinoceros individuals with the exclusion of one southern  
9 African individual (ZA1775.1) due to low coverage (1.27x). The correlation coefficients were calculated using R  
10 v4.2.1 (R Core Team 2022).

### 11 Genetic load

12 Genetic load was estimated to explore the potential consequence of genomic erosion for each individual with  
13 sequencing depth  $> 5x$  following the approach described in Sánchez-Barreiro et al. (2021). *Bcftools* v1.15  
14 (Danecek et al. 2021) was used to call genotypes within scaffolds  $> 14Mb$  in length. We masked the individual  
15 genotype as missing for samples with sequencing depth lower than  $5x$  or samples showing heterozygous genotype  
16 with either allele having less than 3 reads of coverage. We excluded transition sites, and SNPs with fewer than 2  
17 allele counts, or having over 20% missing information. We used *Snpeff* v5.1d to annotate the function of each  
18 variation. For simplicity, we considered the major allele of our black rhinoceros samples as the ancestral state. We  
19 then counted the total number of non-synonymous and loss-of-function homozygous, and heterozygous sites  
20 separately for each sample to estimate the realised and masked genetic load (Bertorelle et al. 2022).

### 21 Visualisations

22 All visualisations were produced in R v3.4.4 (R Core Team 2022) using standard packages and *ggplot2* (Wickham  
23 2016). Visualisation of maps and geographical data required the packages *maps* (Richard A. Becker et al. 2018),  
24 *mapdata* (Richard A. Becker and by Ray Brownrigg. 2018), *maptools* (Bivand and Lewin-Koh 2019), *rgdal*  
25 (Bivand et al. 2019) and *sp* (Pebesma, E.J., R.S. Bivand 2005; Roger S. Bivand, Edzer Pebesma, Virgilio Gomez-  
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- 41

## 1 **Figure captions**

2

3 **Figure 1.** *The wide historic range of the black rhinoceros in sub-Saharan Africa and sampling locations.* The  
 4 shaded area indicates the historic range of distribution of the black rhinoceros (from Rookmaaker and Antoine  
 5 2012). Coloured dots represent the sampling locations of 80 georeferenced samples in our dataset, 53 historic  
 6 and 27 modern. An additional eight samples lacked coordinates, but their country of origin was known. Two  
 7 samples were of unknown origin (Table 1 and Table S1). Dot sizes represent the number of samples collected at  
 8 each location.

9

10 **Figure 2.** *Range-wide population genomic structure of black rhinoceros historic and modern sample sets.* A)  
 11 PCA of all 63 historic genomes coloured by country of origin. B) PCA of historic genomes sampled north of the  
 12 Zambezi River. C) PCA of historic and modern (all) genomes sampled north of the Zambezi River. D) PCA of  
 13 historic genomes sampled in southern Africa, south of the Zambezi River. E) PCA of historic and modern (all)  
 14 genomes sampled in southern Africa, south of the Zambezi River. F) Admixture analysis of historic individuals  
 15 showing range-wide population structure at  $K = 6$ . Values of  $K \geq 10$ , including modern genomes, are available  
 16 in Figures S4 and S6. G) Fossil calibrated phylogenomic tree using a single individual representative per  
 17 population. Branch labels show bootstrap values, gene concordance factors, and site concordance factors  
 18 respectively.

19

20 **Figure 3.** *Isolation by distance across the historic range of the black rhinoceros.* (A) Mantel regression showing  
 21 the significant relationship between pairwise genetic and geographic distances for all georeferenced historic black  
 22 rhinoceros. (B) Distribution of D-statistic values showing the relative genetic distance between the central and  
 23 eastern populations (CE or EA) to either the southern (S) or northwestern population (NW). A negative D-score  
 24 (blue) indicates a closer relationship to S, whereas a positive D-score indicates a closer relationship to NW  
 25 (yellow).

26

27 **Figure 4.** *Effective migration across the historic range of the black rhinoceros and summary of the inferred*  
 28 *historic population structure in the black rhinoceros.* The effective migration surface was inferred with EEMS  
 29 (Petkova et al. 2016) based on genome-wide data from 53 georeferenced historic samples. The colour gradient  
 30 represents effective migration rates in logarithmic scale; blue shades indicate rates higher than average, while grey  
 31 shades represent migration rates lower than average. The six inferred historic populations were mapped onto the  
 32 migration surface to determine their geographical distribution. Roman numerals denote regions of low (I-IV) and  
 33 high (V-X) migration described in the text. Dot size represents the number of samples from each location.

34

35 **Figure 5.** *Individual genomic diversity across geographically informed populations of black rhinoceros.* A)  
 36 Individual genome-wide heterozygosity (GWhet) for 83 modern and historic samples, B) GWhet based on  
 37 geographical distribution for 53 georeferenced historic samples, C) Distribution of individual  $F_{RoH}$  values with a  
 38 window size of 1Mb and larger for 83 modern and historic samples per group is visualised. D) The geographic

1 distribution of  $F_{\text{RoH}}$  with a window size of 1Mb and larger is shown for 53 historic, georeferenced samples.  
2  
3 **Figure 6.** *Inbreeding through time and space.* Violin plots of individual percentages of genome in RoH across the  
4 six major black rhinoceros populations were divided into size classes to investigate inbreeding at three sequential  
5 timeframes of the recent past. Allowing for a generation time of 24 years equates to inbreeding between 517 –  
6 1032 years (A), 207 - 516 years (B) and 0 - 206 years (C) for the small, medium and large  $F_{\text{RoH}}$  size classes  
7 respectively. CE, Central Africa; EA, East Africa; NE, Northeastern; NW, Northwestern, RU, Ruvuma; S,  
8 Southern.  
9  
10

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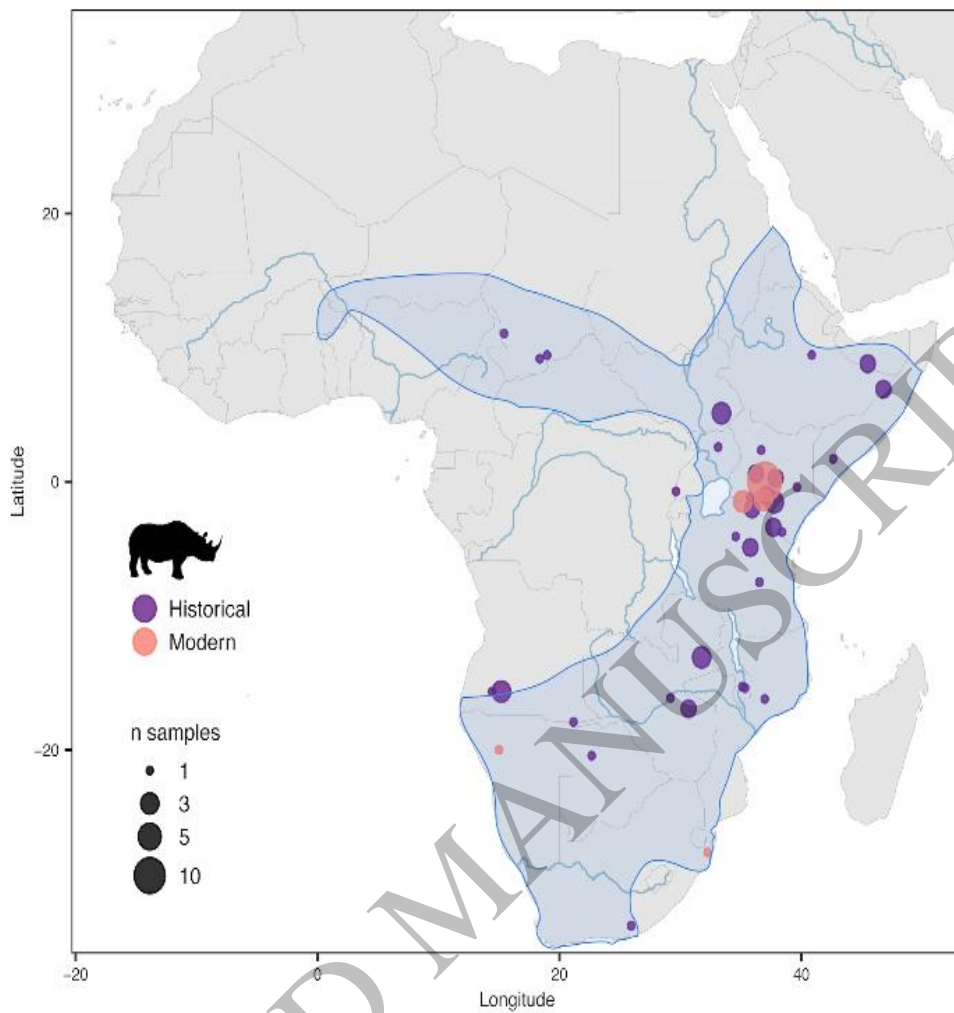


Figure 1  
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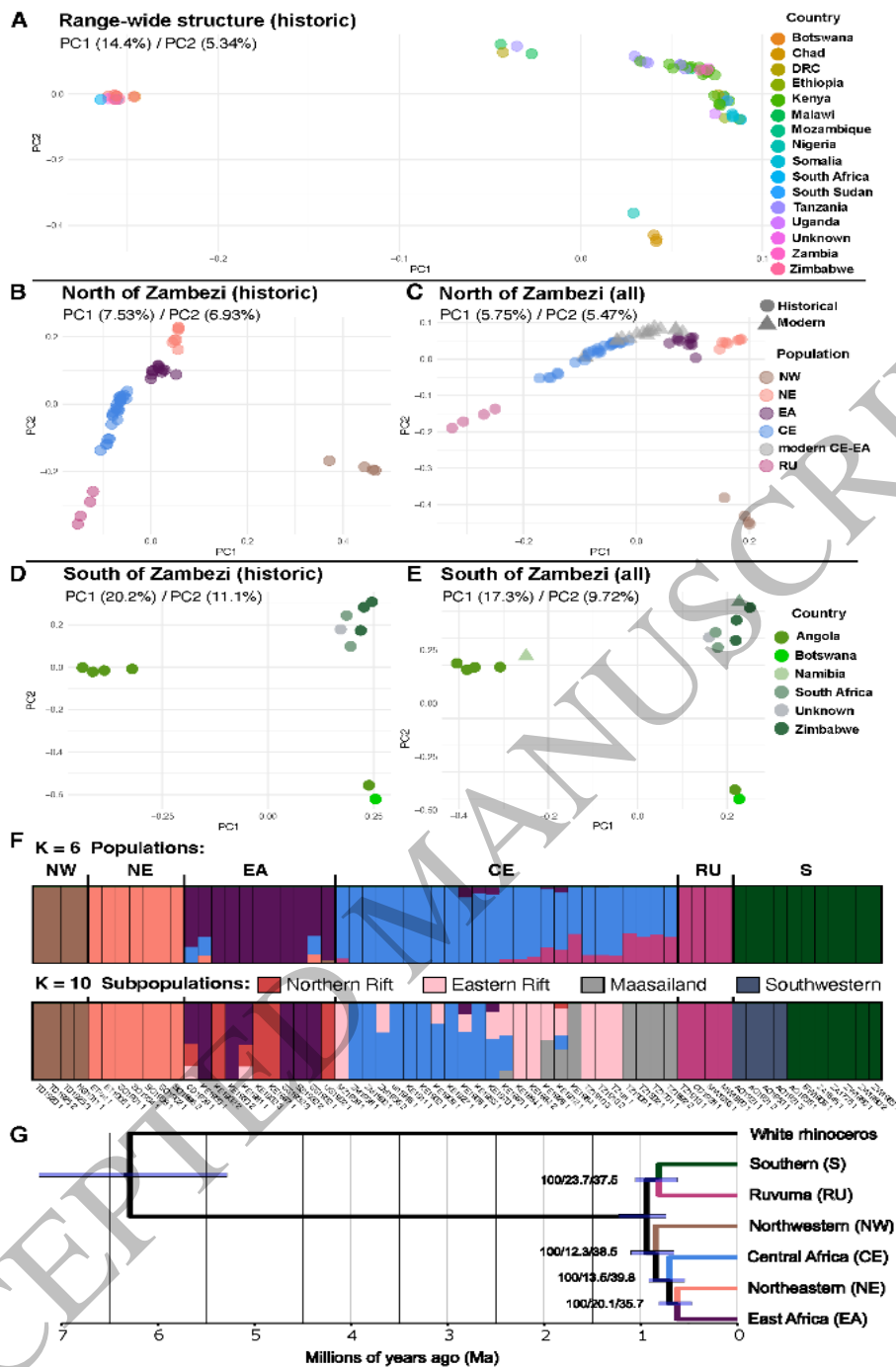


Figure 2  
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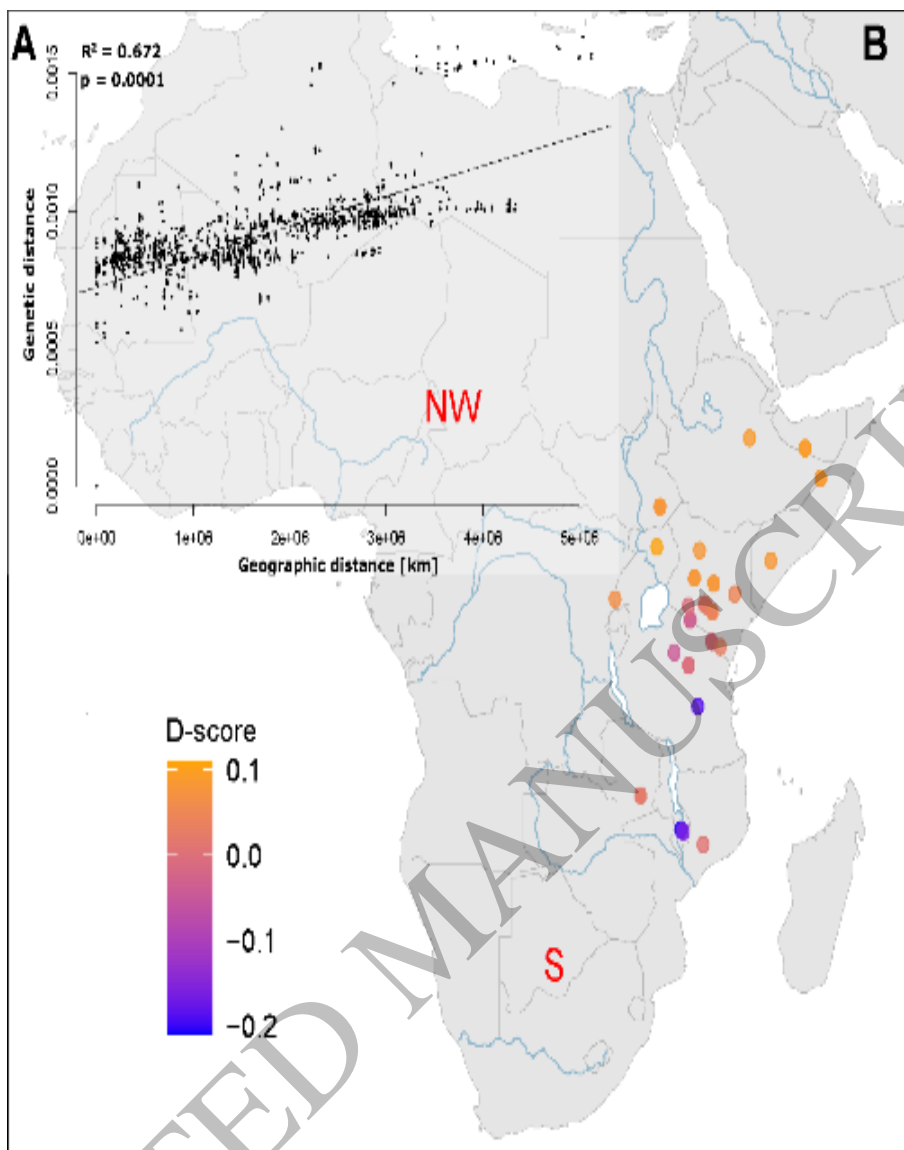


Figure 3  
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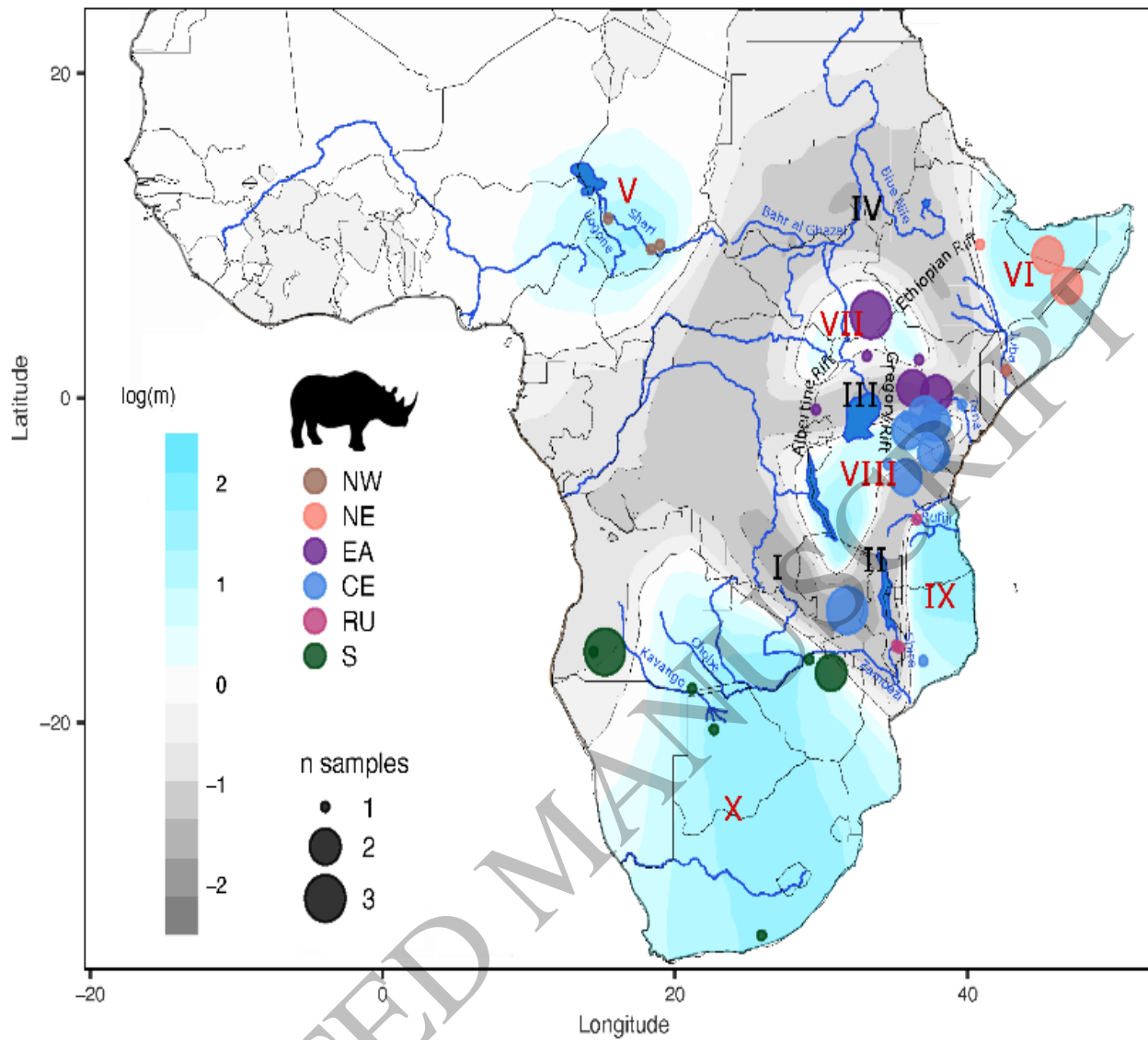


Figure 4  
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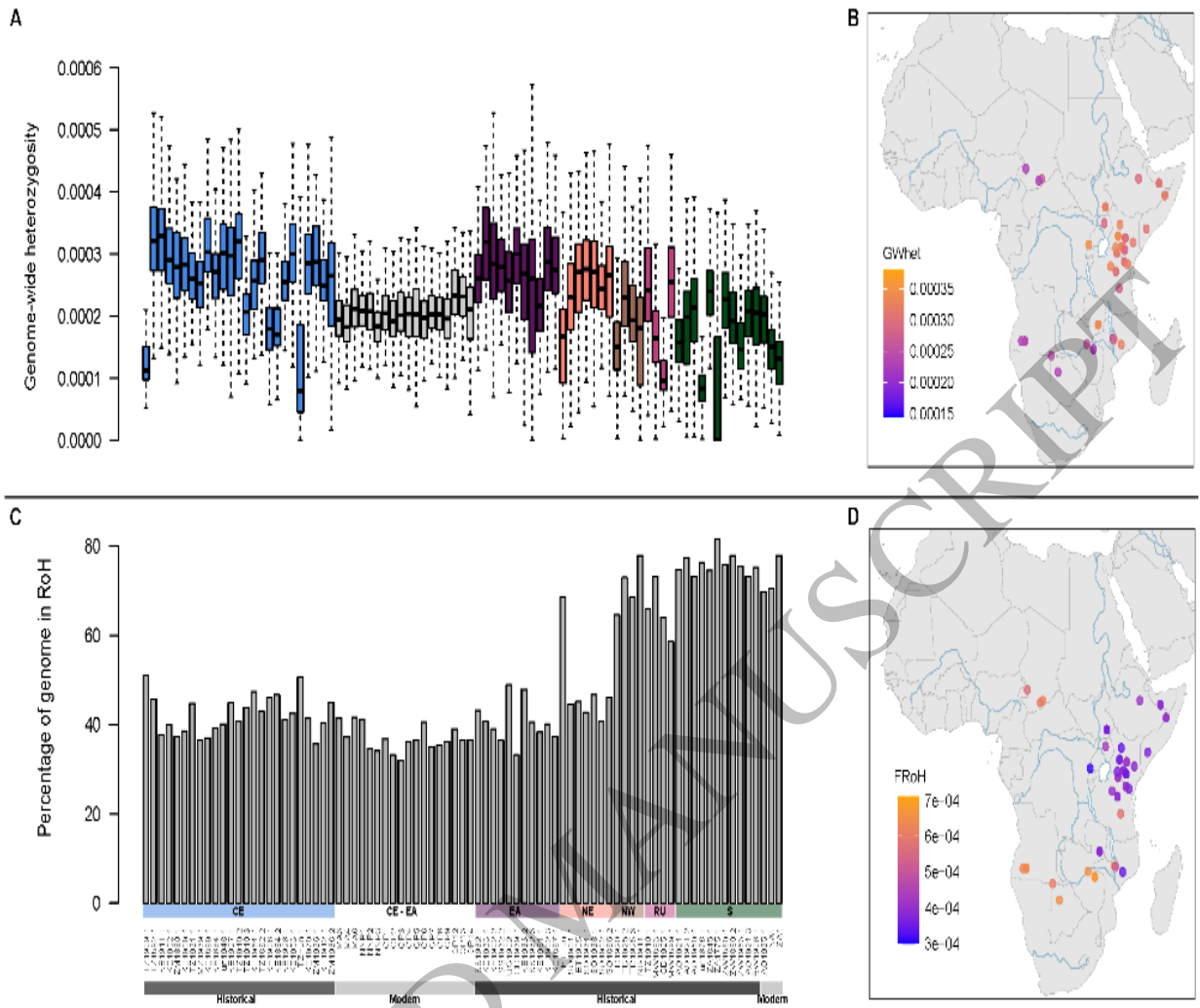


Figure 5  
116x70 mm ( x DPI)

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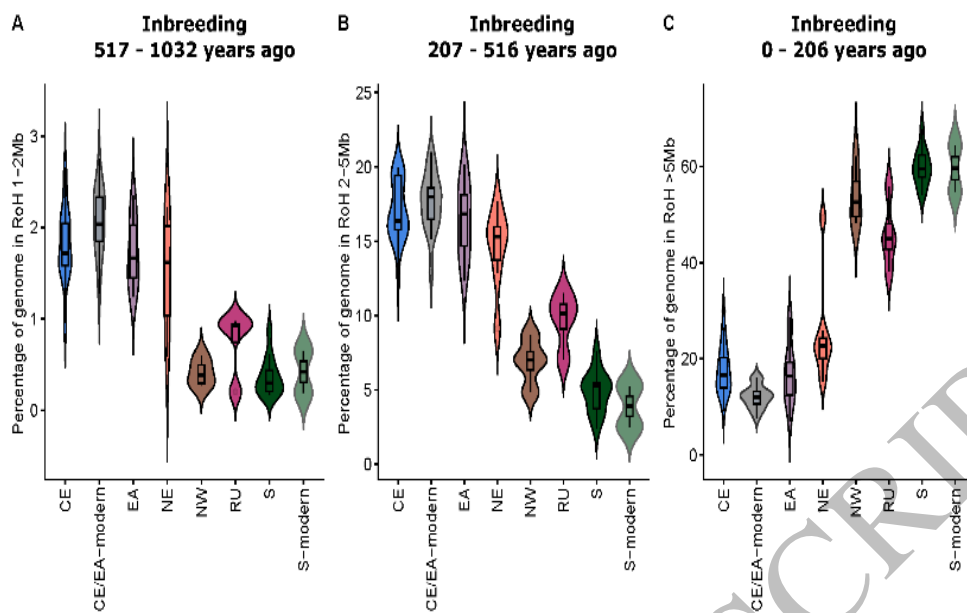


Figure 6  
95x47 mm ( x DPI)

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